

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Tomio  
YAMASHITA et al.**

Group Art Unit: **2811**

Serial No.: **10/076,355**

Examiner: **Hung K. Vu**

Filed: **February 19, 2002**

Confirmation No.: **1518**

For: **SEMICONDUCTOR DEVICE INCLUDING AN ISLAND-LIKE  
DIELECTRIC MEMBER EMBEDDED IN A CONDUCTIVE  
PATTERN**

Attorney Docket Number: **020167**

Customer Number: **38834**

**REQUEST FOR RETURN OF FORM PTO-1449**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: April 19, 2005

Sir:

Applicants filed an Information Disclosure Statement (IDS) on March 8, 2005. A copy of the IDS as filed is attached hereto. A copy of the stamped postcard attesting to the filing and receipt of the IDS by the U.S. Patent Office is also enclosed.

Applicants respectfully request that the Examiner return a copy of the Form PTO-1449 that accompanies the IDS, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is encouraged to telephone the undersigned if the Examiner has any questions concerning this Request.

Respectfully submitted,

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

Thomas E. Brown  
Registration No. 44,450  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

TEB/jl



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Attorney Docket Number: **020167**

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**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.97(d)/(e)(1)**

**Attn: Group Director**  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: March 8, 2005

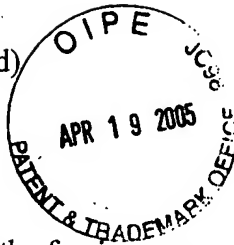
Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. A copy of each non-U.S. document is attached.

This Information Disclosure Statement is being submitted after mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but before or concurrent with payment of the Issue Fee. A check is attached hereto which covers the \$180.00 fee set forth in 37 C.F.R. §1.17(p).

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the

Information Disclosure Statement under 1.97(d)  
Attorney Docket No. 020167  
Serial No. 10/076,355



Information Disclosure Statement. A copy of the foreign communication citing the documents is attached.

Each listed document was cited in a communication from a foreign patent office and the communication was not received by any person defined in 37 C.F.R. §1.56(c) more than 30 days before filing of this paper.

In accordance with M.P.E.P section 609 III (A)(3), it is respectfully submitted that the requirement for a concise explanation of relevance is satisfied by the submission of an English version of the office action which indicates the degree of relevance found by the Japanese Patent Office.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

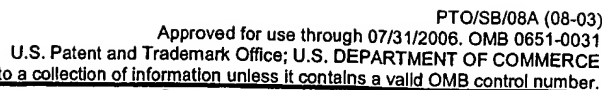
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

A handwritten signature in black ink, appearing to read "TEB", written over the printed name of Thomas E. Brown.

Thomas E. Brown  
Attorney for Applicants  
Registration No. 44,450  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

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Enclosures: PTO/SB/08  
Documents cited (6)  
Foreign Communication  
Check for \$180.00



Substitute for form 1449/P 11

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/076,355
Filing Date	2/19/2002
First Named Inventor	Tomio YSMASHITA
Art Unit	2811
Examiner Name	Hung K. Vu
Attorney Docket Number	020167

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 11-162980	6/18/1999	U. TAKASHI		✓
		JP 09-008039	1/10/1997	A. KAZUhide		✓
		JP 06-318590	11/15/1994	H. MITSUMASA		✓
		JP 08-195393	7/30/1996	S. SHUICHI		✓
		WO 99/38204	7/29/1999	K. YAMAMOTO		✓
		JP 11-067763	3/9/1999	M. KEIICHI		✓

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.





U.S. Patent Application      Docket No: 020167

Serial No.: 10/076,355      Filed: February 19, 2002

Applicant: Tomio YAMASHITA

Papers filed herewith on: March 8, 2005

1. Information Disclosure Statement/PTO/SB/08
- 2) References cited therein (6)
- 3) Foreign Communication
- 4) Check for \$180.00

COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

TEB/jl